



CASE HL/95-22204/A/PCT/DIV

EFW

CERTIFICATE OF MAILING

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Andrea Delecchi  
Type or print name

Andrea Delecchi  
Signature

6/18/04  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

ANNEMARIE WOLLEB ET AL

APPLICATION NO: 10/803,705

FILED: MARCH 18, 2004

FOR: PROCESS FOR THE PREPARATION OF  
INDOLE DERIVATIVES AND  
INTERMEDIATES OF THE PROCESS

Group Art Unit: 1626

Examiner: S. N. Wright

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached forms PTO-1449. These references are of record in parent application Serial No. 10/296,106 filed November 22, 2002 now U.S. Patent 6,743,926 issued June 1, 2004 and copies are available therein.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

Respectfully submitted,

Kevin T. Mansfield

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Encl. PTO-1449 Forms

JUN 21 2004

Sheet 1 of 9

FORM PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

HL/95-22204/A/PCT/DIV

Application Number

10/803,705

Applicant

ANNEMARIE WOLLEB ET AL

Filing Date

MARCH 18, 2004

Group Art Unit

1625

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,739,073	4/88	Kathawala	548	406	
	4,677,211	6/87	Jewell, Jr. et al	548	491	
	4,650,890	3/87	Jewell, Jr. et al	556	446	
	4,571,428	2/86	Kapa	556	437	
	4,870,199	9/89	Chen et al	556	437	
	5,356,896	10/94	Kabadi et al	514	256	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	0363934	4/90	Europe				
	99/47474	9/99	PCT/World				
	0244364	11/87	Europe				
	0470039	2/92	Europe				
	0114027	7/84	Europe				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	O. Tempkin et al., Tetrahedron, Vol. 53, No. 31, pp. 10659-10670, (1997)
	F. Kathawala et al., Helvetica Chimica Acta, Vol. 69 (1986) pp. 803-805
	J. E. Lynch et al., Tetrahedron Letters, Vol. 28, No. 13, pp. 1385-1388, (1987)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (Use several sheets if necessary)			Docket Number (Optional) <b>HL/95-22204/A/PCT/DIV</b>		Application Number <b>10/803,705</b>	
			Applicant <b>ANNEMARIE WOLLEB ET AL</b>			
			Filing Date <b>MARCH 18, 2004</b>		Group Art Unit <b>1625</b>	
<b>U. S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						YES
<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)</b>						
	A. Katritzky et al., J. Org. Chem., Vol. 60, pp. 3707-3710, (1995)					
	M. Brennan et al., Heterocycles, Vol. 24, No. 10, pp. 2879-2885, (1986)					
	R. Liu et al., J. Org. Chem., Vol. 62, pp. 7447-7456, (1997)					
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	HL/95-22204/A/PCT/DIV	10/803,705
	Applicant	
	ANNEMARIE WOLLEB ET AL	
	Filing Date	Group Art Unit
	MARCH 18, 2004	1625

### U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	D. Netz et al., Tetrahedron Letters, Vol. 33, No. 15, pp. 1957-1958, (1992)
	E. Baader et al., Tetrahedron Letters, Vol. 30, No. 38, pp. 5115-5118, (1989)
	S. Ohta et al., Communications, January 1985, pp. 45-48

EXAMINER	DATE CONSIDERED
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U. S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

  

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

  

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)	
	R. Phillips et al., J. Am. Chem. Soc., (1986), Vol. 108, pp. 2023-2030

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